501.43326X00

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Applicants:

Daisuke KATSUTA, et al.

CED 1 0 2006

Serial No.:

10/733,316

SEP 2 0 2006

Filed:

December 12, 2003

Title:

METHOD OF TRADING INFORMATION

Group:

3639

Examiner:

NELSON, Freda Ann

Confirmation No.:

9072

UNDER 37 CFR 1.97 AND 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

September 20, 2006

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find Form

PTO/SB/08A listing two references, U.S. Patent Nos. 6,075,874 A and 6,282,362

B1, to be considered by the Examiner. Applicants note that since the references are

U.S. Patents, a copy of the documents is <u>not</u> enclosed.

This Information Disclosure Statement is being submitted concurrently with the filing of a RCE Transmittal in the above-identified application. Clearly, requirements of 37 CFR §1.97(b) are satisfied.

In view of the foregoing, and again noting the concurrently filed RCE Transmittal, it is respectfully submitted that all applicable requirements of 37 CFR §1.97 and §1.98 have been satisfied, in connection with each of the documents listed on the enclosed Form. Accordingly, consideration of the listed documents, upon further examination of the above-identified application, is respectfully requested.

Docket No. 501.43326X00 Serial No. 10/733,316 Information Disclosure Statement

Applicants request any shortage or excess in fees in connection with the filing of this paper, including extension of time fees, and for which no other form of payment is offered, be charged or credited to Deposit Account No. 01-2135 Case: 501.43326X00).

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP.

Leonid D. Thenor Registration No. 39,397

LDT/vvr

Dated: September 20, 2006

Signature

PTD/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT													VEC
						Application Number				10/733,316		CENTRAL FA	A UL
							Filing Date					CEDA	
						First Named Inventor						SEP 2 (ΓZU
						Art Unit				3639			
						Examiner Name				NELSON, Freda Ann			
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"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant, 1 Applicant's unique citation designation number (optional), 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), 4 For J.; panese patent documents, the Indication of the year of the reign of the Emperor must precode the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible. 8 Applicant is to place a check mark here if English language Translation Is attached.

Considered

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (end by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Information and the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.